Striatopallidal dysfunction underlies repetitive behavior in Shank3-deficient model of autism

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The postsynaptic scaffolding protein SH3 and multiple ankyrin repeat domains 3 (SHANK3) is critical for the development and function of glutamatergic synapses. Disruption of the SHANK3-encoding gene has been strongly implicated as a monogenic cause of autism, and Shank3 mutant mice show repetitive grooming and social interaction deficits. Although basal ganglia dysfunction has been proposed to underlie repetitive behaviors, few studies have provided direct evidence to support this notion and the exact cellular mechanisms remain largely unknown. Here, we utilized the Shank3B mutant mouse model of autism to investigate how Shank3 mutation may differentially affect striatonigral (direct pathway) and striatopallidal (indirect pathway) medium spiny neurons (MSNs) and its relevance to repetitive grooming behavior in Shank3B mutant mice. We found that Shank3 deletion preferentially affects synapses onto striatopallidal MSNs. Striatopallidal MSNs showed profound defects, including alterations in synaptic transmission, synaptic plasticity, and spine density. Importantly, the repetitive grooming behavior was rescued by selectively enhancing the striatopallidal MSN activity via a Gq-coupled human M3 muscarinic receptor (hM3Dq), a type of designer receptors exclusively activated by designer drugs (DREADD). Our findings directly demonstrate the existence of distinct changes between 2 striatal pathways in a mouse model of autism and indicate that the indirect striatal pathway disruption might play a causative role in repetitive behavior of Shank3B mutant mice.

Introduction

Recent genetic studies have revealed a large number of risk genes for autism spectrum disorder (ASD). Many of these genes encode proteins important for synaptic development and function. One such gene is SH3 and multiple ankyrin repeat domains 3 (SHANK3). Shank3 is a member of the Shank family of proteins (SHANK1–3). SHANK proteins interact with various postsynaptic density (PSD) proteins through 5 main functional domains: N-terminal ankyrin repeats, SH3 domain, PDZ domain, proline-rich region, and a C-terminal sterile α motif (SAM) (1–5). Most notably, SHANKs bind to synapse-associated protein 90/post-synaptic density 95–associated protein (SAPAP, also known as GKAP or DLGAP), which in turn binds to PSD95 family proteins to form the PSD95/SAPAP/SHANK postsynaptic complex (2, 4, 6, 7). These 3 groups of multidomain proteins are proposed as forming a key postsynaptic scaffold, playing an important role in targeting, anchoring, and dynamically regulating synaptic localization of neurotransmitter receptors and signaling molecules (8). SHANKs are also connected to the metabotropic glutamate receptor (mGluR) pathway through binding to Homer (9–11). In addition, given the Shank protein’s link to actin-binding proteins, SHANKs have been shown to regulate spine development (10, 12, 13). Thus, Shank3 proteins have been proposed as playing key roles in synaptic development and function (11, 14, 15).

Disruption of Shank3 is thought to be the cause of the core neurodevelopmental and neurobehavioral deficits in Phelan-McDermid syndrome (PMS, 22q13.3 deletion syndrome), as individuals carrying a ring chromosome 22 with an intact Shank3 gene are phenotypically normal (16–22). PMS is a neurodevelopmental disorder characterized by intellectual disability, autistic-like behaviors, hypotonia, and impaired development of speech and language (23, 24). Moreover, recent genetic studies have identified a large number of Shank3 mutations in ASD patients not diagnosed with PMS (20, 25–28), strongly implicating Shank3 gene disruption or mutation as a monogenic cause of ASD (18, 20, 23, 29, 30). In support of these genetic findings, Shank3 mutant mice exhibited various synaptic defects and ASD-related behavioral abnormalities, including repetitive grooming behaviors and

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social interaction deficits (31–36). Thus, Shank3 mutant mice provide us with a monogenic model for studying neural mechanisms underlying autism-relevant behaviors.

Dysfunction of the basal ganglia has been strongly implicated in a variety of psychiatric disorders characterized by inflexible and compulsive behaviors, such as obsessive and compulsive disorders (OCD), drug addiction, Tourette syndrome, and ASD (37–41). The dorsal striatum, the largest nucleus of the basal ganglia, receives converging information from cortex and thalamus to mainly control voluntary motor actions and procedural learning (42, 43). Output from the dorsal striatum is organized into 2 primary projection pathways, dopamine D1 receptor expressing striatonigral neurons forming the direct projection pathway (D1 MSN, where MSN indicates medium spiny neuron) and D2 receptor expressing striatopallidal neurons forming the indirect striatal projection pathway (D2 MSNs, we crossed Shank3B mutant mice with transgenic mice and D2 MSNs). To specifically test whether deletion of the Shank3 gene differentially affects synapses of D1 MSNs and D2 MSNs of WT and KO mice (mEPSC frequency: WT D1, n = 17, N = 4, KO D1, n = 17, N = 4, P = 0.73. WT D2, n = 13, N = 3; KO D2, n = 13, N = 3, P = 0.0039; mEPSC amplitude: WT D1 and KO D1, P = 0.053. WT D2 and KO D2, P = 0.006). The Journal of Clinical Investigation

Results
Shank3 deletion preferentially affects glutamatergic synaptic transmission in striatopallidal MSNs. To specifically test whether deletion of the Shank3 gene differentially affects synapses of D1 MSN and D2 MSNs, we crossed Shank3B-KO mice with transgenic mice

Figure 1. Differential effects of Shank3 deletion on glutamatergic synaptic transmission in D1 and D2 MSNs. (A and B) Representative recording traces of AMPA-mediated mEPSCs of D1 MSNs and D2 MSNs (B) in the presence of TTX (0.5 μM), PTX (100 μM), and DL-APV (50 μM) from acute striatal brain slices. (C and D) Summary data of mEPSC frequency (C) and peak amplitude (pA) (D) from D1 MSNs and D2 MSNs of WT and KO mice. mEPSC frequency: WT D1, n = 17, N = 4, KO D1, n = 17, N = 4, P = 0.73. WT D2, n = 13, N = 3; KO D2, n = 13, N = 3, P = 0.0039; mEPSC amplitude: WT D1 and KO D1, P = 0.053. WT D2 and KO D2, P = 0.006). The Journal of Clinical Investigation

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that expressed either tdTomato in D1R-expressing direct pathway–projecting MSNs (Drd1a-tdTomato mice) (51) or EGFP in D2R-expressing indirect pathway–projecting MSNs (Drd2-EGFP mice) (52). Using whole-cell voltage clamp recordings, we measured AMPAR-mediated miniature excitatory postsynaptic currents (mEPSCs) in the presence of tetrodotoxin (TTX) and picrotoxin (PTX). We found a reduction of mEPSC peak amplitude in both D1 MSNs and D2 MSNs of Shank3B-KO mice. However, the frequency of AMPAR-mediated mEPSCs was reduced only in D2 MSNs, but not D1 MSNs (Figure 1, A–D). Such distinct glutamatergic synaptic transmission changes in D2 MSNs suggest that Shank3 loss may lead to a reduced number of synapses, reduced presynaptic release probability, or both selectively onto D2 MSNs, while leaving D1 MSNs relatively intact. We thus measured paired-pulse ratio (PPR, 50 ms of interpulse interval) to assess presynaptic release probability. A significant enhancement in PPR was found only in D2 MSN recordings in Shank3B-KO mice, indicative of reduced presynaptic release probabilities of the glutamatergic presynaptic terminals innervating D2 MSNs in Shank3B-KO mice (Figure 1, E and F). The selective alteration in presynaptic release probability likely contributes, at least partially, to the reduced frequency of mEPSCs observed in D2 MSNs in Shank3B-KO mice.

Another important component that regulates striatal MSN activity is the local GABAergic interneuron. Electrophysiological studies have demonstrated that striatal MSNs receive prominent GABAergic innervations. Moreover, these striatal GABAergic interneurons have distinct firing patterns and connections with MSNs and thus may exert different effects on D1 and D2 MSNs (53, 54). As shown in Supplemental Figure 1 (supplemental material available online with this article; https://doi.org/10.1172/JCI87997DS1), we did not observe significant changes in both frequency and peak amplitude of GABAergic miniature inhibitory postsynaptic currents (mIPSCs) in D1 and D2 MSNs of Shank3B-KO mice. In addition, the final output activity of MSNs is also determined by intrinsic membrane properties; we thus examined and compared the MSN membrane properties between Shank3B-KO and WT mice. As shown in Supplemental Table 1, we did not observe significant alterations in resting membrane potential, threshold potential, membrane input resistance, or rheobase amplitude between Shank3B-KO and WT mice. However, when we examined the frequency-current (F/I) relationship, we found a left shift trend of the averaged F/I curve in D2 MSNs of Shank3B-KO mice as compared with D2 MSNs of WT mice. Although 2-way ANOVA interaction analysis showed no statistical significance (Supplemental Figure 2) using Sidak’s multiple comparisons test, the firing frequency of D2 MSNs in Shank3B-KO mice was significantly higher than in WT D2 MSNs, suggesting an increased intrinsic excitability of Shank3B-KO D2 MSNs.

Shank3 deletion selectively impaired endocannabinoid-mediated long-term depression in D2 MSNs. Long-lasting changes in synaptic strength at MSN glutamatergic synapses have been implicated in habitual learning and striatal-based cognitive functions. The plasticity of the MSN glutamatergic synapses is known to be modulated by endocannabinoid (eCB), and eCB-dependent long-term depression (eCB-LTD) is one of the most dominant forms of long-term plasticity expressed at MSN glutamatergic synapses (55–58). eCBs are released by postsynaptic neurons and act as retrograde signaling to activate presynaptically located CB1 receptors (CB1Rs) to reduce neurotransmission. However, there is controversy in the literature as to whether the eCB-LTD can be induced at glutamatergic synapses onto both D1 and D2 MSNs because high-frequency electrical stimulation (HFS) might recruit intrastriatal dopaminergic and cholinergic signaling that can modulate this form of LTD (56–60). We thus started out to test whether the induction protocol, pairing HFS (4 trains of 100 Hz/s given at 10-second interval) of presynaptic glutamatergic afferent fibers by placing a stimulating electrode at the inner edge of the corpus callosum (instead of intrastriatal stimulation) and postsynaptic depolarization (DP) of MSNs (HFS/DP), was able to induce LTD in both types of MSNs and whether LTD could be blocked by a CB1R antagonist in both types of neurons. Consistent with previous reports (55, 56, 58, 61–63), the HFS/DP stimulation paradigm led to the induction of robust LTD in WT D1 MSNs and D2 MSNs in the dorsal striatum, as evidenced by the reduction in the amplitude of evoked EPSCs (eEPSCs) (Figure 2). This reduction in eEPSC amplitude following LTD induction was also accompanied by an increase in PPR, which suggests a reduction in presynaptic glutamate release probability. In addition, as shown in Supplemental Figure 3, the LTD in both types of neurons can be blocked by AM251, a CB1R antagonist, suggesting that the LTD at both D1 and D2 MSN synapses was mediated by the retrograde signaling eCB. As shown in Figure 2, A–D, both the reduction in the amplitude of eEPSCs and the increase in PPR in D1 MSNs following LTD induction are comparable between WT and Shank3B-KO mice. However, in response to the same HFS/DP stimulation paradigm, the activity-dependent reduction of eEPSC amplitude in Shank3B-KO D2 MSNs was significantly less when compared with D2 MSNs in WT mice (Figure 2, E–G, eEPSC amplitude in the last 10 minutes relative to baseline). Consistently, the increase in PPR (50 ms of interpulse interval) of eEPSCs following the high-frequency stimulation of glutamatergic afferents was not observed in D2 MSNs from Shank3B-KO mice (PPR in the last 10 minutes relative to baseline, Figure 2H). Together, these data demonstrate that the loss of Shank3 selectively impaired LTD in D2 MSNs.

The HFS/DP striatal LTD induction requires the coactivation of postsynaptic Cav1.3 L-type calcium channels and the predominant group I mGluRs in striatum, type 5 metabotropic glutamate receptors (mGluR5) in MSNs, which leads to a robust elevation in dendritic Ca2+ levels (55, 57, 64, 65). This activity-induced elevation in dendritic Ca2+ levels then triggers the production of eCB, which acts on presynaptic CB1Rs. The CB1R, a G(i/o)-coupled receptor, is known to inhibit glutamate release when activated. Thus, striatal LTD induction originates from postsynaptic sites, but expresses through a presynaptic mechanism involving a decrease in glutamate release probability via retrograde eCB signaling. We thus tested whether Shank3 disruption specifically affected mGluR5 activity and Cav1.3 activity, thereby contributing to impaired HFS/DP-LTD in D2 MSNs.

We first induced corticostriatal eCB-LTD by pharmacological activation of group I mGluRs (mGluR1 and mGluR5) using the selective agonist (R,S)-3,5-dihydroxyphenylglycine (DHPG). We observed a robust induction of LTD in WT D2 MSNs following the application of 100 μM DHPG for 10 to 15 minutes in the presence of NMDA receptor (NMDAR) blocker DL-2-amino-5-phospho-
Figure 2. Shank3 deletion selectively impaired LTD in D2 MSNs, but not D1 MSNs. (A, I, E, M) Averaged traces from a representative LTD experiment in D1 (A and I) and D2 MSNs (E and M) using HFS-LTD protocol (A and E) and DHPG-LTD protocol (I and M). Light traces represent the baseline EPSC average from 0 to 10 minutes (labeled with light color number 1), and dark traces represent the average EPSC from the last 10 minutes after LTD induction (dark color number 2). (B, J, F, N) LTD is similar between WT and KO D1 MSNs in both HFS-LTD (B) and DHPG-LTD (J). KO D2 MSNs show less reduction level compared with WT D2 MSNs in both HFS-LTD (F) and DHPG-LTD (N). (C, G, K, O) Summary data of eEPSC amplitudes of D1 MSNs (C and K) and D2 MSNs (G and O) from WT and KO mice using the HFS-LTD protocol (C and G) and the DHPG-LTD protocol (K and O) (comparison between baseline and the last 10 minutes of recording). C, D1 MSNs, WT, n = 8, N = 3; KO, n = 6, N = 3, P = 0.89; G, D2 MSNs: WT, n = 11, N = 6; KO, n = 11, N = 6, P = 0.0165; K, D1 MSNs: WT, n = 6, N = 2; KO, n = 6, N = 2, P = 0.35; O, D2 MSNs: WT, n = 7, N = 3; KO, n = 8, N = 3, P = 0.0104. (D, H, L, P) Summary data of PPR of D1 MSNs (D and L) and D2 MSNs (H and P) from WT and KO mice using the HFS-LTD protocol (D and H) and the DHPG-LTD protocol (L and P) (last 10 minutes PPR relative to baseline). D, D1 MSNs: WT and KO, P = 0.15; H, D2 MSNs: WT and KO, P = 0.0082; L, D1 MSNs: WT and KO, P = 0.36; P, D2 MSNs: WT and KO, P = 0.0072. An unpaired t test was used for comparisons. *P < 0.05; **P < 0.01; ***P < 0.001. n, cell number; N, animal number.

novaleric acid (D1-APV) (50 μM) and GABA_A receptor antagonist PTX (100 μM). However, D2 MSNs from Shank3B-KO mice showed a significantly reduced magnitude of DHPG-induced LTD (Figure 2, M–P). In contrast, DHPG application induced comparable LTD in D1 MSNs of WT and Shank3B-KO mice (Figure 2, I–L).

MSNs coexpress 2 subclasses of L-type calcium channels, referred to as Cav1.2 and Cav1.3, that are characterized by their distinct pore-forming α subunits α1C and α1D, respectively (66–68). Previous studies by Olson et al. (69) demonstrated that the 2 channels differ in their affinity for dihydropyridine (DHP) antagonists in acutely isolated striatal MSNs. In particular, Olson et al. found that a low concentration of nimodipine (1 μM) produces a near complete block of Cav1.2 channels in MSNs from Cav1.3-knockout mice and that Cav1.3 channel-mediated currents could be operationally defined as those blocked by 10 μM nimodipine, but not 1 μM nimodipine. We applied the same method to determine whether Shank3 deletion could affect Cav1.3 L-type calcium channel activity in Shank3B-KO MSNs (Figure 3A). We found that Cav1.3 channel currents elicited in D2 MSNs of Shank3B-KO mice were significantly reduced compared with those of WT mice, but this is not the case for Cav1.2 channel–mediated currents (Figure 3, D and E). In contrast, in D1 MSNs, neither Cav1.2 nor Cav1.3 channel currents showed significant differences between WT and Shank3B-KO mice (Figure 3, B and C). Thus, the current density of Cav1.3 channels in D2 MSNs is selectively affected in Shank3B-KO mice.

A previous study (65) showed that strong activation of L-type calcium channels by the agonist FPL64176 was sufficient to induce LTD at striatal excitatory synapses (FPL-LTD) and that this type of LTD shared a retrograde eCB signaling mechanism with HFS/DP-LTD. As expected, application of FPL64176 (500 nM) to striatal slices induced robust LTD in WT D1 and D2 MSNs (Figure 3, F and I). Similarly, D1 MSNs in Shank3B-KO mice exhibited robust FPL-LTD (Figure 3, F–H). In contrast, the same induction protocol resulted in impaired LTD in D2 MSNs from Shank3B-KO mice. Instead of depression, a late potentiation in some D2 MSNs was observed (Figure 3, I–K), indicating an altered calcium concentration and/or dynamics due to reduced Cav1.3 activity in D2 MSNs of Shank3B-KO mice. Furthermore, the increase of PPR of eEPSCs following application of FPL was observed only in D2 MSNs from WT mice, but not in those from Shank3B-KO mice (Figure 3K). Of note, we also observed a trend toward an increase in the decay time constant (τ_r) of the average EPSCs after FPL application in about half of the D2 MSNs of Shank3B-KO mice (cells shown as LTP instead of LTD; WT-before: 13.5 ± 3.30 ms, WT-after: 15.7 ± 2.77 ms, n = 6 cells, N = 3 mice. KO-before: 12.7 ± 2.10 ms, KO-after: 24.83 ± 6.00 ms, n = 7 cells, N = 3 mice; 2-way ANOVA, P =
While the reason for the slower decay kinetic is still unclear, a possible explanation for the LTP in the subgroup of D2 MSNs of Shank3B-KO mice might be an increase in the expression of GluR2-lack-lacking AMPARs at the synaptic sites (70–72), where a reduction of GluR2 proteins in the PSD of Shank3B-KO mice was observed in our previous study (31). Together, these data demonstrate a striatal D2 MSN–specific plasticity defect in Shank3B-KO mice.

Because of the postsynaptic localization of SHANK3 protein, the defective striatal LTD in D2 MSNs of Shank3B-KO mice most likely has a postsynaptic origin, consistent with the upstream signaling pathways required for eCB generation. However, it is possible that deletion of Shank3 may directly affect presynaptic CB1R function. Thus, we specifically investigated whether signaling via presynaptic CB1Rs was altered in Shank3B-KO D2 MSNs by directly activating CB1Rs with WIN 55212-2 (1 μM), a CB1R-specific agonist. We found that application of WIN-55212-2 at a concentration eliciting submaximal levels of synaptic depression resulted in a similar reduction of eEPSC amplitude in D2 MSNs of WT and Shank3B-KO mice (Supplemental Figure 5, A and B). Consistent with its effect on presynaptic release properties, WIN 55212-2 also similarly increased the PPR ratio in WT and Shank3B-KO D2 MSNs (Supplemental Figure 5C). These results suggest that there are no significant alterations in CB1R-mediated signaling itself in Shank3B-KO D2 MSNs and that the changes found in striatal LTD are most likely due to the altered eCB signaling activity originating from the postsynaptic side.

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D2 MSNs are more vulnerable to structural alterations upon Shank3 deletion. Shank3 gene mutations identified in autism have been found to affect dendritic spine development and morphology through an actin-dependent mechanism (73–76). Our previous studies found reduced spine
were significantly reduced compared with those of WT mice (Fig.)

Shank3B positive) and D2 (tdTomato negative) MSNs in the dorsal striatum total and mushroom-shaped spine density of both D1 (tdTomato and imaged MSNs (Supplemental Figure 6). We quantified the Shank3B-KO/Drd1a-tdTomato and WT /Drd1a-tdTomato mice injected AAV-hSyn1-EGFP-f-WPRE-hGH into 2- to 4-month-old neurons when injected into the retroorbital venous sinus (77). We WPRE-hGH), which could pass the blood-brain barrier to infect Shank3 density in MSNs of Shank3B-KO mice. Here, we examined whether Shank3 deletion differentially affected spine density in D1 MSNs versus D2 MSNs. We applied a modified viral-based method to sparsely label striatal neurons using a membrane-targeted EGFP (EGFPi) delivered with an AAV viral vector (AAV-hSyn1-EGFPi-WPRE-hGH), which could pass the blood-brain barrier to infect neurons when injected into the retroorbital venous sinus (77). We injected AAV-hSyn1-EGFPi-WPRE-hGH into 2- to 4-month-old Shank3B-KO/Drd1a-tdTomato and WT/Drd1a-tdTomato mice and imaged MSNs (Supplemental Figure 6). We quantified the total and mushroom-shaped spine density of both D1 (tdTomato positive) and D2 (tdTomato negative) MSNs in the dorsal striatum of WT and Shank3B-KO mice. We found that both the total and mushroom-shaped spine density in D2 MSNs of Shank3B-KO mice were significantly reduced compared with those of WT mice (Figure 4, A and B), whereas only a trend of reduction was observed in D1 MSNs of Shank3B-KO mice (Figure 4, A and C). In addition, we traced striatal D1 versus D2 MSNs to investigate the cellular morphology and dendritic complexity of these cells in both WT and Shank3B-KO mice. Sholl analysis revealed a reduced dendritic complexity in D2 MSNs of Shank3B-KO mice as compared with WT mice. Again, D1 MSNs of KO mice showed levels of dendritic complexity comparable to those of WT mice (Supplemental Figure 7).

Enhancing the activity of D2 MSNs, but not D1 MSNs, rescued repetitive grooming behavior in Shank3B-KO mice. Our above results suggest that Shank3 deletion leads to more prominent synaptic defects in D2 MSNs. Since coordinated and balanced activity of the direct and indirect pathways is critical for normal striatum-mediated actions (47, 78, 79), we set out to test whether D2 MSN dysfunction plays a causative role in repetitive grooming behavior observed in Shank3B-KO mice. To enhance the activity of D2 MSNs, we used the stimulatory designer receptors exclusively activated by designer drugs (DREADD–hM3Dq, where hM3Dq indicates Gq-coupled human M3 muscarinic receptor), which couples through a Gq pathway to depolarize neuronal membrane potential upon the application of synthetic ligand clozapine-N-oxide (CNO) (80–82). We bilaterally injected the Cre-dependent AAV8-hSyn-DIO-hM3Dq-mCherry (hM3Dq-mCherry) into the dorsal striatum of WT/Drd1a-Cre, Shank3B-KO/Drd1a-Cre, WT/A2a-Cre, and Shank3B-KO/A2a-Cre mice to selectively express hM3Dq-mCherry in D1 or D2 MSNs. As shown in Figure 5A, mCherry was specifically detected in dorsal striatal MSNs with no expression in other brain regions. In parallel, all control mice shown in Figure 5 had received bilateral injections of AAV8-hSyn-DIO-mCherry targeting the dorsal striatum. To test the functionality of DREADD–hM3Dq in MSNs, we performed whole-cell current clamp recordings of hM3Dq-mCherry–positive MSNs in acute brain slices. We found that hM3Dq-mCherry–expressing MSNs, but not control hSyn–mCherry–expressing cells, exhibited an increased excitability upon local application of CNO, as evidenced by a marked membrane depolarization and increased firing rate in hM3Dq-mCherry–positive MSNs (Figure 5B).

We next examined whether enhancing the activity of D2 MSNs by DREADD–hM3Dq could rescue repetitive grooming behavior in Shank3B-KO mice. Because strong activation of D2 MSNs can lead to motor inhibition, as demonstrated by the optogenetic activation study (83), and thus confound our behavioral analysis, we tested the effect of different doses of CNO on motor activity in WT/A2a-Cre and Shank3B-KO/A2a-Cre mice injected with AAV8-hSyn-DIO-hM3Dq-mCherry. We found that administration of CNO at concentrations of 0.7 mg/kg or 1.0 mg/kg, commonly used doses in mice, caused significant inhibition of motor activity (Supplemental Figure 8). Conversely, administration of CNO at a concentration of 0.7 mg/kg or 1.0 mg/kg (data not shown) to WT/Drd1a-Cre and Shank3B-KO/Drd1a-Cre mice injected with AAV8-hSyn-DIO-hM3Dq-mCherry dramatically increased motor activity (Supplemental Figure 8). We thus used a lower dose of CNO (0.3 mg/kg body weight) for our behavioral experiments, aiming to correct the reduced activity of D2 MSNs in Shank3B-KO mice without inducing significant motor activity changes. We found that administration of CNO at a concentration of 0.3 mg/kg to Shank3B-KO/A2a-Cre mice injected with AAV8-hSyn-DIO-hM3Dq-mCherry significantly reduced self-grooming.
Figure 5. Enhancing D2 MSN activity using DREADD-hM3Dq reduced repetitive grooming behavior in Shank3B-KO mice. (A) The injecting site of AAV-DIO-hM3Dq-mCherry virus and behavior paradigm. (B) Representative traces of CNO-induced neuronal membrane depolarization of a hM3Dq-mCherry-expressing D1 (upper panel) or D2 MSN (lower panel). (C and E) A reduction in grooming time between pre- and post-CNO treatment only was found in KO/A2a-Cre mice. (C, D1: WT-mCherry, N = 7, P = 0.78; KO-mCherry, N = 6, P = 0.88; WT-hM3Dq, N = 7, P = 0.54; KO-hM3Dq, N = 7, P = 0.87; E, D2: WT-mCherry, N = 6, P = 0.75; KO-mCherry, N = 6, P = 0.75; WT-hM3Dq, N = 7, P = 0.18; KO-hM3Dq, N = 7, P = 0.0031). (D and F) DREADD significantly decreased grooming changes in KO/A2a-Cre mice (F, WT and KO, P = 0.43; mCherry and DREADD, P = 0.03; interaction, P = 0.25, 2-way ANOVA), but not D1-Cre mice (D, WT and KO, P = 0.9). mCherry and DREADD, P = 0.78; interaction, P = 0.78). (G and I) Summary data showing no changes in locomotor activity between pre- and post-CNO treatment in WT/D1-Cre or KO/D1-Cre mice (G, WT-mCherry, N = 6, P = 0.33; KO-mCherry, N = 6, P = 0.89; WT-hM3Dq, N = 6, P = 0.18; KO-hM3Dq, N = 6, P = 0.08) and WT/A2a-Cre or KO/A2a-Cre mice (I, WT-mCherry, N = 7, P = 0.10; KO-mCherry, N = 6, P = 0.07; WT-hM3Dq, N = 7, P = 0.41; KO-hM3Dq, N = 9, P = 0.29). (H and J) DREADD did not change locomotor activities in both D1-Cre mice (H, WT and KO, P = 0.18; mCherry and DREADD, P = 0.66; interaction, P = 0.05) and A2a-Cre mice (I, WT and KO, P = 0.64; mCherry and DREADD, P = 0.81; interaction, P = 0.57). A paired t test was used for C, E, G, and I and 2-way ANOVA was used for D, F, H, and J. *P < 0.05; **P < 0.01. N, animal number.
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(figure 5, e and f) without significantly affecting motor activity (figure 5, i and j). on the other hand, enhancing the activity of d1 msn by cno in shank3b-ko/drd1a-cre mice injected with aaV8-hsyn-Dio-hm3Dq-mCherry did not affect self-grooming behavior (figure 5, c and d); instead, the mice showed a trend of increased motor activity (figure 5, g and h). Thus, enhancing d2 msn activity, but not d1 msn activity, is sufficient to correct the repetitive grooming behavior in shank3b-ko mice.

finally, we tested whether reducing d2 msn activity in WT mice may increase repetitive grooming. to reduce the activity of d2 msn, we used the inhibitory dREADD (DREADD-hM4Di), which couples through a Gi pathway to hyperpolarize neuronal membrane potential upon the application of cno. we bilaterally injected cre-dependent aaV8-hsyn-Dio-hm4Di-mCherry (hm4Di-mCherry) into the dorsal striatum of WT/a2a-cre mice to selectively express hm4Di-mCherry in d2 msn. to test the functionality of DREADD-hm4Di in msn, we performed whole-cell current clamp recordings of hm4Di-mCherry–positive msn in acute brain slices. We found that hm4Di-mCherry–expressing msn exhibited a hyperpolarization upon local application of cno (supplemental figure 9, a and b). we then tested the effect of cno (0.3 mg/kg) on repetitive grooming behavior of WT/a2a-cre mice bilaterally injected with hm4Di-mCherry. in parallel, all control WT/a2a-cre mice (without hm4Di-mCherry viral injection) were treated with the same dosage of cno and tested for grooming duration. as shown in supplemental figure 9c, no significant changes in grooming duration were observed between the 2 groups. although these results suggest that a moderate reduction in d2 msn activity alone could not induce repetitive grooming in WT mice, other explanations also exist. first, rescuing behavioral defects may only require the normalization of a subset of neurons in the circuit, while generating behavioral defects may require a larger subset of abnormally functioning neurons. second, there might be some compensatory mechanisms that could regain a balanced activity of the 2 striatal pathways in WT mice, whereas in shank3b-ko mice, such compensatory mechanisms might be compromised and imbalanced activity of the 2 striatal pathways could eventually lead to the repetitive grooming behavior.

discussion

In the present work, we found synaptic defects in both d1 msn and d2 msn in shank3b-ko mice. however, Shank3 deletion seems to preferentially affect synapses in d2 msn, including changes in presynaptic and postsynaptic function as well as spine density. in d1 msn, Shank3 deletion only reduces postsynaptic AMPAR response. to the best of our knowledge, this is the first study to provide direct evidence that a primary dysfunction in the striatal indirect pathway could give rise to repetitive behavior in a mouse model of autism. previous studies have suggested that an imbalance of the direct and indirect pathways of the basal ganglia may underlie repetitive behavior (78, 79, 84). Recently, it was shown in neureilin-1 and neureilin-3 mutant mice that abnormality of d1 msn can lead to autistic-like behaviors, including repetitive behavior (85, 86). Our present study in Shank3B mutant mice demonstrates that hypoactivity of d2 msn is also sufficient. It will be interesting to determine whether modulating d2 msn activity could also correct repetitive behaviors other than self-grooming. however, the high levels of anxiety and locomotion defects in Shank3B mutant mice complicate other repetitive behavior tests such as marble burying (data not shown). Future studies with other ASD models will be needed to address this question.

Currently, the molecular mechanisms of how Shank3 loss causes the differential structural and functional alterations between d1 msn and d2 msn are unknown. Although Shank3 is expressed in both d1 msn and d2 msn (69), d1 msn and d2 msn have differential transcriptomes, including differential expression of many signaling proteins (87, 88). Therefore, since Shank3 is a major scaffolding protein, one possible explanation is that Shank3-containing scaffolds form distinct signaling complexes in d1 msn and d2 msn and that disruption of these complexes may lead to distinct synaptic defects. Alternatively, the Shank3 gene has multiple intragenic promoters and extensive alternative splicing, thereby producing multiple isoforms in the mouse brain (33, 75, 89). These different isoforms may be differentially expressed in d1 msn and d2 msn. Based on the presence of unique protein-binding domains in each isoform, distinct signaling complexes could form and thus result in distinct defects in the 2 types of msn. recent work from Wang et al. (75) provides direct evidence demonstrating that Shank3 isoforms display different subcellular localization and differential effects on dendritic and spine morphology, further supporting this hypothesis. thus, it is tempting to speculate that deletion of Shank3 leads to the disruption of distinct synaptic signaling complexes in d1 and d2 msn due to differential binding partners in these 2 types of cells. the disruption of Shank3 signaling in d2 msn affects both pre- and postsynaptic function, while Shank3 deletion in d1 msn only causes a postsynaptic change of reduced synaptic AMPAR response. in addition, reduced neuronal dendritic complexity is only found in d2 msn. The reduced dendritic complexity result appeared to be inconsistent with our previous report, where a hypertrophy of striatal neuronal morphology was found in Shank3 mutant mice (31). while we don’t know the exact reasons for the seemingly inconsistent dendritic complexity results, our previous study was done on 5-week-old mice, whereas 3- to 5-month-old mice were used in the current study. in addition, in our prior study, we collected a mixed population of both d1 and d2 msn. considering the intrinsically different dendritic structures with higher dendritic complexity in d1 msn than in d2 msn (shown in supplemental figure 7), a confounding effect from the msn cell types other than genotype might also contribute to the discrepancy. it will be important to directly test the functional roles of Shank3 isoforms at specific synapses and in different cell types during development and to assess the relevance of the difference isoforms to the neural circuit dysfunction that underlies autistic-like behaviors.

defects of mGluR5 signaling have been found in several mouse models of ASD (90–92). Shank3 is known to interact with mGluR5 via the Homer adaptor protein. Given the fact that eCB generation requires the activation of postsynaptic calcium signaling and mGluR5, it is not surprising that we found defects in eCB-mediated long-term synaptic plasticity in d2 msn of Shank3b-ko mice. While both Shank3b-ko mice and the Tsc2+/− mouse model of tuberous sclerosis complex exhibited a reduction in
mGluR-induced LTD, the fragile X syndrome (FXS) mouse model (Fmr1-KO mice) showed excessive group I mGluR activation, leading to an increased mGluR-induced LTD (90, 93). Although it is not clear whether and how the observed changes in striatal LTD in Shank3B-KO mice are linked to autistic-like behaviors such as repetitive grooming and social interaction deficits, our results further support the notion that mGluR5 signaling abnormalities might be a common phenomenon in a subset of ASD models (92). In addition, the loss of eCB-LTD could be due to abnormal eCB signaling in the Shank3B mutant mice that occludes induction of further LTD. It is well established that eCB-LTD can be developmentally regulated. Considering the very recent work that demonstrated an early hyperactivity of corticostriatal circuits in Shank3B-KO mice (94), it is possible that a type of abnormal eCB signaling has formed during development. It thus will be interesting for our future studies to explore the potential abnormal eCB signaling in development in Shank3B-KO mice.

One prominent hypothesis posits that repetitive behavior is a consequence of relative hyperactivity along cortico–basal ganglia–cortical feedback circuits, implicating an imbalanced activity between the 2 striatal pathways in the basal ganglia, which eventually facilitate the release of compulsive motor programs (84, 95, 96). Our findings, in particular the correction of repetitive grooming by enhancing D2 MSN activity with the DREADD system in an attempt to regain balanced activity between the 2 striatal pathways in Shank3B-KO mice, largely support such an activity-imbalance theory. However, it is worth noting that the moderate enhancement of D1 MSN activity with the DREADD system by applying low-dose CNO (0.3 mg/kg) did not induce or exacerbate repetitive grooming behavior in either WT or Shank3B-KO mice (Figure 5, C and D). Instead, it only induced a trend of motor activity enhancement in Shank3B-KO mice, but not in WT mice (Figure 5, G and H). One possible explanation is that Shank3B-KO mice might be more sensitive to such moderate manipulation than WT mice due to their disrupted dual-pathway balanced activity toward a relative higher activity of direct pathway. Interestingly, such moderate enhancement of indirect pathway activity did not lead to differential changes in motor activity between WT and Shank3B-KO mice (Figure 5, I and J). Instead, we only observed the rescue of repetitive grooming behavior in Shank3B-KO mice (Figure 5, E and F). Thus, our results suggest a much more complex scenario than the simple activity imbalance hypothesis. It is possible that, besides the importance of balanced and cooperative activity of the 2 striatal pathways, indirect pathway activity might be more engaged in the mediation of repetitive behavior, while changes in direct pathway activity might have a stronger effect on locomotor activity. Indeed, as shown by optogenetic manipulations (83) of MSN activities, bilateral activation of direct-pathway MSNs elicited an increase in ambulation and reduction of fine movements, but changes in such movements were not correlated with changes in grooming frequency. Such pathway-specific engaging function could also explain the distinct rescue of locomotion defects when D1 MSN activity, but not D2 MSN activity, is enhanced in Shank3B-KO mice (Supplemental Figure 8, C and E) when they are given high doses of CNO (0.7 mg/kg).

Previous pharmacological studies and genetic modulation of dopaminergic genes (such as the dopamine transporter DAT and the D1 receptor) indicated that enhanced activity of the direct pathway induced repetitive behavior (97–100). However, a potential complication for such interpretation is the widespread expression of dopamine receptors outside the striatum, such as in the cortex and limbic regions (96). Unlike the complexity of pharmacological and genetic modulation through dopaminergic receptors, the striatal cell-type–specific activation of direct pathway D1 MSNs either by optogenetic or the chemical-genetic DREADD approach did not appear to induce repetitive or stereotyped behavior (82, 83, 101), which is in agreement with earlier works suggesting that activating the direct pathway leads to hyperactivity, not stereotypy (102, 103). Overall, our studies highlight the importance of dissecting the unique functional role of each pathway individually as well as the interplay between the two in order to understand the mechanisms underlying repetitive behaviors seen in many psychiatric disorders.

Methods

Animals. The generation of Shank3B-KO mice was described previously (31). Experimental mice were the progeny of Shank3B+/-/Drd1a-tdTomato or Drd2-EGFP breeding pairs (with C57BL/6 genetic background, backcrossing for at least 10 generations) in which only 1 of the parents expressed the Drd1a-tdTomato or Drd2-EGFP transgenes, so that no experimental subjects were homozygous for the transgenic fluorescent markers (51, 52). In experiments testing genotype effects, littermate control animals of both sexes were used (age around 1.5 to 2 months). All experiments were performed and analyzed with the experimenter blinded to genotypes.

Electrophysiological studies. Six- to eight-week-old mice of both sexes were used for all whole-cell electrophysiology procedures. Acute coronal brain slices were prepared as follows. Mice were anesthetized with Avertin solution (20 mg/ml, 0.5 mg/g body weight) and transcardially perfused with 15 to 20 ml of ice-cold carbogated (95% O2, 5% CO2) cutting solution containing the following: 194 mM sucrose, 4.5 mM KCl, 1.2 mM NaH2PO4, 0.2 mM CaCl2, 2 mM MgCl2, 26 mM NaHCO3, and 10 mM D-(-)-glucose (with osmolarity of 340–350 mOsm). The brains were then rapidly removed and placed in ice-cold cutting solution for slice preparation. Coronal slices (300 μm) were prepared and then incubated at 32°C with carbogated artificial cerebral spinal fluid (aCSF) for 10 to 15 minutes. The slices were then incubated at room temperature for at least 1 hour in aCSF that contained the following: 119 mM NaCl, 2.3 mM KCl, 1.0 mM NaH2PO4, 26 mM NaHCO3, 11 mM glucose, 1.3 mM MgSO4, and 2.5 mM CaCl2 (pH 7.4, with osmolarity of 295–305 mOsm) at room temperature for at least 1 hour.

The slices were constantly perfused with carbogated aCSF at room temperature (22–24°C) for all the spontaneous synaptic activity measurements to gain better stability of the patch membrane. For all the plasticity recordings (including HFS induction protocol, DHPG, and FPL chemical induction protocol), the slices were perfused with carbogated aCSF at 32°C. The perfusion rate was around 3.0 ml/min. The individual fluorescence positive D1 or D2 MSNs were identified with a microscope equipped with GFP or RFP filter (BX-51WI, Olympus). Whole-cell patch-clamp recordings were performed with an IR-DIC visual guide. For AMPA mEPSCs and evoked AMPAR-mediated EPSCs, pipettes were filled with the internal solution containing the following: 110 CsOH (50% wt), D-gluconic acid (49%–53% wt), 4 mM NaCl, 15 mM KCl, 5 mM TEACl, 20 mM HEPES, 0.2 mM EGTA, 5 mM lidocaine N-ethyl chloride,
4 mM ATP magnesium salt, and 0.3 mM GTP sodium salt. pH was adjusted to 7.2-7.4 with CsOH, and osmolarity was adjusted to 298-300 mOsm with K$_2$SO$_4$ (approximately 8-10 mM). For GABA$_A$ receptor-mediated mPSCs, pipettes were filled with internal solution containing the following: 103 mM CsCl, 12 mM CsOH (50% wt), 12 mM methanesulfonic acid, 4 mM NaCl, 5 mM TEA-Cl, 10 mM HEPES, 0.5 mM EGTA, 10 mM phosphocreatine, 5 mM lidocaine N-ethyl chloride, 4 mM ATP magnesium salt, and 0.3 mM GTP sodium salt. pH was adjusted to 7.2-7.4 with KOH, and osmolarity was adjusted to 298-300 mOsm with K$_2$SO$_4$ (approximately 8-10 mM). Miniature synaptic events were not recorded until 5 minutes after entering whole-cell patch-clamp recording mode to allow the dialysis of Cs' internal solution for a relatively complete block of the potassium channels in the striatal MSNs. Cells in which the series resistance (Rs, typically 8-12 MΩ) changed by more than 20% were excluded for data analysis. In addition, cells with Rs of more than 20 MΩ at any time during the recordings were discarded. Cell membrane potential was held at ~70 mV unless otherwise specified, with a Multiclamp 700B amplifier (Molecular Devices). Signals were low-pass filtered at 2 kHz and sampled at 10 kHz with a Digidata 1440A (Molecular Devices), and data were stored on a computer for subsequent off-line analysis. mEPSCs and mPSCs were detected and analyzed with MiniAnalysis (Synaptosoft).

For paired-pulse stimulation experiments and LTD experiments, AMPAR-mediated EPSCs were evoked by a local concentric bipolar stimulating electrode (CBARC75, FHC). The stimulating electrode was placed in the inner edge of the corpus callosum within the dorsolateral striatum. Recordings were made in the presence of PTX (100 μM) and DL-APV (50 μM) to block activation of GABA$_A$ receptors and NMDARs. The stimulus intensity was set at a level that evoked 200-300 pA of AMPAR-mediated EPSCs for all the cells measured and delivered with an interstimulus interval of 50 ms. Paired-pulse measurements were obtained for 15 consecutive traces, and only those traces with stable evoked first current response were used for data analysis. The PPR was calculated with the peak current response to the second pulse divided by that of the first response. Only those neurons present in the baseline drifting less than 10% over 30 minutes were used to induce LTD protocol for either HFS or chemical LTD experiments.

**Morphological characterization of dendritic trees and spines.** To sparsely label MSNs, 25 μl pAAV-hSyn1-EGFP-WPRE-hGH virus (Penn Vector Core, University of Pennsylvania, Philadelphia, Pennsylvania, USA) with the titer of 2 × 10$^{12}$ GC/ml was delivered into mouse blood circulation through retroorbital injection of the venous sinus (104). Shank3B-KO/Drd1a-tdTomato mice and WT littersmates were injected with virus at the age of 2 to 4 months and euthanized 3 weeks later for immunostaining. PFA-fixed 200-μm-thick coronal brain slices were prepared using Vibratome. Immunostaining was performed with an anti-GFP antibody (Invitrogen, catalog A11122) to visualize EGFP expression. After staining, each slice was surrounded by a 240-μm depth spacer (Electron Microscopy Sciences) and mounted on slides with nonhardening Vectashield mounting medium (Vector Laboratories, catalog H-1000).

Olympus Fluoview FV1000 confocal microscope was used to image EGFP-labeled dorsal striatal MSN cells. To acquire relatively intact dendritic trees, neurons located around the center in the depth of sections were imaged under a 40× oil lens at 1-μm intervals for a total thickness of approximately 100 μm. Each neuron was manually traced by using NeuroLucida software (MBF Bioscience) for 3D reconstruction and measurements. Stack images (1024 × 1024 pixels) of spines were acquired using a 60× oil lens plus 6x zoom-in. Huygens Deconvolution software (Scientific Volume Imaging) was used to process the images. Spines for the imaged dendritic segments were automatically detected and grouped into mushroom and nonmushroom using NeuronStudio software (CNIC) with a post-hoc manual correction. Experimenters were blinded to genotypes of mice during viral injection, imaging, and measurement analyses.

**Viral injections for DREADD-hM3Dq rescue experiments.** Each mouse was deeply anesthetized (1%-2% isoflurane) and was administered a dose of 1 mg/kg of meloxicam subcutaneously by injection prior to any incisions being made. The mouse was then mounted in a stereotaxic frame with nonpuncturing ear bars. AAV8-hSyn-DIO-hM$_D$q-mCherry or control AAV8-hSyn-DIO-mCherry (University of North Carolina Viral Vector Core, Chapel Hill, North Carolina, USA; approximate titer 2 × 10$^{12}$ GC/ml) solution was injected using a nanoinjector with injection micropipette at the following coordinates for each mouse: anterior/posterior: 1.18 mm, medial/lateral: ±1.25 mm, dorsal/ventral: 2.2 mm and 2.8 mm; anterior/posterior: 1.18 mm, medial/lateral: ±1.8 mm, dorsal/ventral: 2.2 mm and 3.0 mm; anterior/posterior: 0.35 mm, medial/lateral: ±1.5 mm, dorsal/ventral: 2.4 mm and 3.0 mm; anterior/posterior: 0.35 mm, medial/lateral: ±2.2 mm, dorsal/ventral: 2.4 mm, 3.0 mm and 3.5 mm. A total volume of 69 nl was injected at each desired depth at the speed of 23 nl per second. Incisions in the scalp were closed after injection, and animals were allowed to recover in accordance with MIT Committee on Animal Care guidelines. After surgery, mice were individually housed for 2 weeks before behavioral tests.

**Behavioral experiments.** Grooming behavior was measured as previously described (31). Briefly, mouse grooming was videotaped and coded from 19:00 to 21:00 hours beginning at the initiation of the dark cycle; this segment was analyzed using Noldus Observer software, and the total amount of time in the 2-hour segment spent grooming was determined. Grooming included all sequences of face wiping, scratching/rubbing of head and ears, and full-body grooming. Locomotion activity was measured using LABORAS (Metris), which relies on vibration and force signal analysis to determine both the behavior and the position of the animal (105). Three hours of continuous recordings were classified with LABORAS software v2.5 to establish locomotion activity. To test the effect of CNO on locomotion activity and grooming behavior, mice were placed in the chamber of LABORAS for 1 hour for habituation and 1 hour recording for basal behavior. Then the mice were injected with a dose of CNO and returned to the LABORAS chamber for another 2 hours of recording. The observer was blinded to genotypes during the scoring of the videotapes.

**Drugs.** TTX, PTX, NBQX, and DHPG were purchased from Tocris. DL-APV, WIN55,212-2 mesylate salt, and FPL64176 were purchased from Sigma-Aldrich. All drugs were made as concentrated stock solutions and diluted in aCSF to their final concentration on the experiment day and delivered with constant perfusion to the recording chamber. For drug stocks prepared with DMSO, the final DMSO concentration was less than 0.1%.

CNO was purchased from Sigma-Aldrich. For experiments in mice, CNO was first dissolved in DMSO and then diluted to a final concentration with saline. The final concentration of DMSO was...
0.5%. Unless otherwise noted, the dose of CNO was 0.3 mg/kg. CNO was injected i.p. at a volume of 100 μl/10 g body weight. For patch-clamp recording, CNO was dissolved in DMSO at 10 mM and then diluted into aCSF to a final working concentration of 10 μM.

Statistics. All data were transferred to GraphPad Prism for analysis and graphing. Electrophysiological data are presented as mean ± SEM, and sample size refers to the number of cells analyzed unless noted otherwise. All error bars indicate SEM. Group results were compared by using a 2-tailed unpaired Student’s t test. Unless otherwise noted, behavioral testing data were analyzed with GraphPad Prism 6 using a 2-tailed paired t test and 2-way repeated-measures ANOVA analysis, followed by Tukey’s multiple comparisons test. A P value of less than 0.05 was considered significant.

Study approval. All animal handling and experimental procedures were reviewed and approved by the MIT Committee on Animal Care and the Institutional Biosafety Committee of MIT as well as the Institutional Animal Care and Use Committee at the Fourth Military Medical University.

Author contributions ZF and GF designed the study and oversaw the project. WW, C Li, and QC performed most of the electrophysiological studies, behavioral experiments, and data analysis in the current manuscript. MSVDG performed MSN intrinsic membrane property characterization, imaged AAV sparsely labeled MSNs, and performed Sholl analysis. AYY analyzed spine density. XG, JH, KL, and DW conducted behavioral tests and repetitive grooming behavior analysis. BG performed part of the electrophysiological studies. QZ helped design and run pilot behavioral experiments. C Lu did biochemical analysis. SW revised the manuscript for important intellectual content. CRG provided Drd1a-Cre mice. ZF wrote the manuscript with input from WW, C Li, and GF.

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